# The Urdu Active Impersonal

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Abstract

It has been reported in several works that Urdu can optionally preserve accusative case in passives. In this paper, I show that the accusative-preserving passive construction is different from canonical passives, and reanalyse it as an active construction with a silent *pro* subject. I also compare it to similar constructions in Polish, Ukrainian, Icelandic and Viennese German.

### 1 Introduction

It is commonly reported that Hindi-Urdu can optionally preserve accusative case in passives (1) (Davison 1982, Mohanan 1994, Mahajan 1995, Bhatt 2007, Srishti 2011:Ch5).

(1) **Vo** / **Us=ko** pakRa gya. He.NOM / He.OBL=ACC catch.PFV.M.SG PASS.M.SG 'He was caught.'

This is contrary to the cross-linguistically robust generalisation that accusative case is only found in transitive contexts, that is, in the presence of an external argument (EA). A well-known formulation of this generalisation is Burzio's Generalisation (BG), given below.

(2) Burzio's Generalisation: Only verbs that assign an external  $\theta$ -role may assign accusative case. (Burzio 1986)

From a case assignment perspective, the availability of accusative case in a passive construction is a conundrum for both Agree-based and dependent case theories. Agree-based theories explain BG-type facts by attributing both the external  $\theta$ -role and accusative case to the same functional head, active Voice (Pylkkänen 2002, Alexiadou et al. 2006, Harley 2009, Legate 2014, Harley 2017). This functional head is missing in passives, and so accusative case is unavailable. For dependent case theories, accusative case is a dependent case assigned to a DP c-commanded by another DP (also active for case assignment) in the TP domain. In other words, accusative case is assigned to the lower argument in the clause when there is a (non-quirky) subject present. In passives, there is no argument c-commanding the promoted object to trigger assignment of dependent accusative case. Thus, accusative case assignment in (1) is problematic for both approaches.

The Hindi-Urdu accusative-preserving construction has received two prominent analyses in the literature. Mahajan (1995) argues that Hindi-Urdu does not have a true passive construction at all: the EA is not demoted, the object is not promoted, and (1), with or without accusative case, is underlyingly active. This allows for accusative case conditioned by differential object marking, as in other active clauses, hence, optional 'preservation' of accusative case. Others, such as Mohanan (1994) and Bhatt (2007), have argued that Hindi-Urdu does have true passives but with optional object promotion. When the object is not promoted, it receives accusative case. Hence, both versions of (1) are passive.

In this paper, I show that Urdu has two constructions: a canonical passive and an active impersonal. Canonical passives have a demoted subject and optional object promotion. (1) with an unmarked surface subject is a canonical passive. On the other hand, active impersonals have underlying active syntax with no object promotion and a silent *pro* in subject position. Accusative case is available in the active impersonal construction, as in other active clauses. (1) with a marked surface subject is therefore an active impersonal. It should be noted that the arguments made in this paper

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are only for Urdu and not for Hindi. Although it is common to use the umbrella term 'Hindi-Urdu' in the literature, all the judgements I report are from Urdu speakers and do not seem to hold for Hindi (section 7).

The paper is structured as follows. In section 2, I give some background on accusative case assignment and subject properties in Urdu. In section 3, I establish that Urdu complies to BG in non-transitive contexts (unaccusatives and dative predicates). Section 4 shows that there is a true passive construction in Urdu, while section 5 argues that the accusative-preserving construction is not a true passive and is underlyingly active. In section 6, I compare the Urdu active impersonal to similar constructions in other languages to investigate its structure. Section 7 concludes.

# 2 Background

In this section, I provide background on two aspects of Urdu grammar which are key to this paper. In section 2.1, I summarise the differential object marking conditions on accusative case assignment. In section 2.2, I discuss subject properties which are the main diagnostics used in this paper for identifying the hierarchical position of DPs in the two constructions.

#### 2.1 Accusative case

Accusative case, marked by -ko, is found primarily on direct objects in Urdu. Differential object marking (DOM) causes alternation between accusative and nominative case, as shown in (3).

(3) Omar=ne **seb=ko** / **seb** khaya. Omar=ERG apple=ACC / apple.NOM eat.PFV.M.SG 'Omar ate an/the apple.'

DOM in Urdu is conditioned by animacy and definiteness/specificity (Aissen 2003, Butt and King 2004, Mohanan 1994). With respect to animacy, marking is obligatory on humans, and optional on non-human animates and inanimates. This is summarised in Figure 1.

 $\underbrace{human}_{always \ marked} > \underbrace{animate > inanimate}_{sometimes \ marked}$ 

Figure 1: Animacy scale and DOM in Urdu (adapted from Aissen 2003).

Optional marking on non-human DPs is governed by definiteness/specificity. Pronouns and proper names are always marked. Definite DPs and indefinite specific DPs are sometimes marked. Nonspecific objects are never marked. Figure 2 provides the definiteness scale for Urdu.

 $\underbrace{pronoun > proper \ name}_{always \ marked} > \underbrace{definite > specific}_{sometimes \ marked} > \underbrace{non - specific}_{never \ marked}$ 

Figure 2: Definiteness scale and DOM in Urdu (adapted from Aissen 2003).

### 2.2 Subject/Prominence tests

There are three tests generally used to diagnose subjecthood in Urdu: anaphor binding, pronoun obviation and control into participial clauses. The reflexive possessive anaphor, *apna*, can only be bound by subjects. In (4), *apna* is in the direct object and bound by the subject, but never by the indirect object, despite being c-commanded by both.

(4)  $Sana_i = ne Omar_j = ko apni_{i/*j}$  kitaab bheji.  $Sana_i = ERG Omar_j = DAT REFL.F.SG.OBL_{i/*j}$  book.NOM send.PFV.F.SG 'Sana<sub>i</sub> sent Omar<sub>i</sub> her<sub>i</sub>/\*his<sub>i</sub> own book.'

Conversely, subjects are unable to bind non-reflexive pronouns. In (5), the direct object contains a non-reflexive possessive pronoun which can be bound by the indirect object but not the subject.

(5)  $Sana_i=ne Omar_j=ko us_{i/j/k}=ki kitaab bheji.$   $Sana_i=ERG Omar_j=DAT 3SG.OBL_{i/j/k}=GEN.F.SG book.NOM send.PFV.F.SG$ 'Sana<sub>i</sub> sent Omar<sub>j</sub> her/his\_{i/j/k} book.'

Lastly, subjects, but not objects, control PRO subjects of embedded participial clauses (6).

- (6) a.  $Sana_i = ne \quad Omar_j = ko \quad [PRO_{i/*j} \text{ hansthe hue} ] \text{ maara.} \\ Sana_i = ERG \quad Omar_j = ACC \quad [PRO_{i/*j} \quad laugh.IPFV \quad happen.PFV.OBL ] \quad hit.PFV.M.SG \\ Sana_i \quad hit \quad Omar_j \quad while \quad she_i/*he_j \quad was \quad laughing.'$ 
  - b. Sana<sub>i</sub>=ne Omar<sub>j</sub>=ko [  $\mathbf{PRO}_{i/*j}$  kamre=mein jaa kar ] maara. Sana<sub>i</sub>=ERG Omar<sub>j</sub>=ACC [  $\mathbf{PRO}_{i/*j}$  room.OBL=LOC go do ] hit.PFV.M.SG 'Sana went to the room and hit Omar.' Intended: 'Sana hit Omar when he went to the room.'

Although these are generally claimed to be 'subject' tests, there are two points to consider. Firstly, these properties do not always group together. For instance, dative subjects can bind *apna* (7-a) and control into participial clauses (7-b), but can also bind non-reflexive pronouns (7-c).

(7)	a.	$Sana_i = ko apna_i$ bhai pasand he.
		Sana <sub>i</sub> =DAT REFL.M.SG <sub>i</sub> brother.NOM like be.PRS.3SG
		'Sana <sub>i</sub> likes her <sub>i</sub> own brother.'
	b.	Sana <sub>i</sub> =ko [PRO <sub>i</sub> Cambridge jaa kar] Rami pasand aaya.
		Sana <sub>i</sub> =DAT [PRO <sub>i</sub> Cambridge.LOC go do] Rami.NOM like come.PFV.M.SG
		'Sana <sub>i</sub> liked Rami when she <sub>i</sub> went to Cambridge.'
	с.	$Sana_i = ko  us_{i/j} = ka$ bhai pasand he.
		Sana <sub>i</sub> =DAT 3SG <sub>i/j</sub> =GEN.M.SG brother.NOM like be.PRS.3SG
		'Sana <sub>i</sub> likes $her_{i/j}$ brother.'

Davison (2004) proposes that dative subjects do not originate in the same position as ergative and nominative subjects, but rather originate lower in the structure in VP. This leads us to the second point: these 'subject' properties may not be associated with a single structural position.<sup>2</sup> Instead, I take the tests outlined in this section to be associated with 'prominent' DPs (e.g. highest structural argument, highest logical argument or agent) in line with Mohanan (1994), and indicative of *relative positioning* rather than any specific position. This will become clearer as we apply the tests to the passive constructions in sections 4 and 5.

# 3 Burzio's Generalisation and Urdu

The accusative-preserving passive construction has led many to claim that Urdu is an exception to BG (Mohanan 1994, Mahajan 1995, Srishti 2011:Ch5): accusative case is not tied to the presence of an EA in this language. In this section, I look at unaccusatives (section 3.1) and dative predicates (section 3.2) to establish that this is at least not the case throughout the language.

<sup>&</sup>lt;sup>2</sup>Even ergative and nominative subjects, which seem to pattern together with respect to 'subject' properties, show scopal differences, suggesting that they might not be in the same structural position either (Anand and Nevins 2006). One might consider deconstructing subjecthood and subject positions for Urdu in the style of Poole (2016).

#### 3.1 Unaccusatives

Unaccusatives are a classic example of BG. In many languages, such as English, accusative case is not assigned by unaccusative verbs (8).

#### (8) He/\*Him fell.

Under a raising analysis of unaccusatives, the subject originates as an internal argument (Perlmutter 1978). It is not assigned accusative case in its base position, and instead, raises to subject position where it is assigned nominative case.

In Urdu, too, we see that unaccusative subjects cannot be accusative (9).

(9) Vo / \*Us=ko gira. He.NOM / He.OBL=ACC fell.PFV.M.SG 'He fell.'

However, the unavailability of accusative case in Urdu unaccusatives is not an undisputed fact. Bhatt (2007) argues that unaccusative verbs must be able to assign accusative case in Urdu based on the grammaticality of overt marked DPs in non-finite clauses with unaccusative verbs (10). His logic is that since T is unable to assign case in non-finite clauses, accusative case on the object must come from the unaccusative verb.<sup>3</sup>

(10) a. [PeR(=ko) kal katna ] chahiye tha. [Tree(=ACC) yesterday cut.INF] should be.PST.M.SG
'The tree should have been cut yesterday.'
b. [Akhbaar(=ko) waqt=par aana ] zaruri he. [Newspaper(=ACC) time=LOC come.INF] necessary be.PRS.3SG
'It is necessary for (the) newspaper to come on time.' (Bhatt 2007:13-14)

Nevertheless, there are reasons to be sceptical of Bhatt's (2007) argument. First and foremost, if unaccusative verbs can assign accusative case then why are subjects of unaccusatives never accusative outside of non-finite contexts? Secondly, both of Bhatt's examples (10) have a non-finite clause embedded by auxiliary 'be' and a deontic modal interpretation. This is exactly the same environment in which dative case is assigned to the subject (11).

(11) Sana=ko school jaana he. Sana=DAT school.LOC go.INF bePRS.3SG 'Sana has to go to school.'

It is, therefore, possible that the ko-marker in (10) is actually dative case rather than accusative, and is assigned through exceptional case marking. As such, I reject Bhatt's (2007) arguments and assume that unaccusatives in Urdu, as in most other languages, are unable to assign accusative case.

Both Agree-based and dependent case theories link the unavailability of accusative case in unaccusatives to the absence of the EA. Agree-based approaches attribute the unavailability of accusative case to the lack of VoiceP in unaccusatives (Srishti 2011, Alexiadou et al. 2015). Evidence for the absence of VoiceP specifically in Urdu unaccusatives comes from the fact that they cannot be passivized (12). This is in contract to unergative verbs which can be passivised in Urdu (13).

(12) \*Gira gya. Fall.PFV.M.SG PASS.M.SG

 $<sup>^{3}</sup>$ There is also the issue of where nominative case on the object might come from in these examples and in other non-finite contexts (Bhatt 2007, Mahajan 2017). McFadden and Sundaresan (2011) argue that object nominative case is not dependent on finiteness in the same way as subject nominative case.

(13) Kal naacha gya. Yesterday dance.PFV.M.SG PASS.M.SG 'Yesterday, it was danced.'

Since Voice is also taken to be the functional head responsible for introducing the EA, the absence of accusative case correlates with the absence of the EA in unaccusatives. Conversely, unergatives have VoiceP and subsequently an EA. Accusative case is not assigned as there is no internal argument.<sup>4</sup> The correlation between accusative case and the presence of an EA is even stronger for dependent case approaches. Accusative case is assigned to the lower of two active DPs in the same domain. Since there is no EA, the conditions for accusative case assignment are not met in unaccusative structures. In unergative structures, there is no internal argument, so again, the conditions for accusative case assignment are not met.

#### 3.2 Dative predicates

Dative case is found on experiencer subjects in Urdu. Direct objects of dative predicates cannot have accusative case, even when they would otherwise be obligatorily marked, for example, human proper names (14).

(14) Sana=ko **Omar(\*=ko)** yaad-aya. Sana=DAT Omar(\*=ACC) memory-come.PFV.M.SG 'Sana remembered/missed Omar.'

Subbarao (2012:Ch5) illustrates that dative predicates are unable to assign accusative case through the minimal pair below (15). In (15-a), the main verb, dekh 'see', is a regular transitive predicate which takes either an ergative or nominative subject, depending on tense/aspect. Exceptional case marking results in the embedded subject receiving accusative case from the main verb, dekha 'saw'. However, when we have a dative predicate, dekh paRa 'see-fell', as in (15-b), it is unable to assign accusative case to the embedded subject which must be nominative instead.<sup>5</sup>

(15)	a.	Surabhi=ne [Kriti=ko nachthe hue ] dekha.
		Surabhi=ERG [Kriti=ACC dance.IPFV happen.PFV ] see.PFV.M.SG
		'Surabhi saw Kriti dancing.'
	b.	Surabhi=ko [Kriti(*=ko) nachthe hue ] dikhai paRi.
		Surabhi=DAT [Kriti(*=ACC) dance.IPFV happen.PFV] see.PFV.F.SG fell.PFV.F.SG
		'Surabhi saw Kriti dancing.' (Subbarao 2012:172)

Dative predicates have been analysed as not being properly transitive and having an unaccusative structure with internal arguments only (Belletti and Rizzi 1988, Subbarao 2012:Ch5). For Urdu, this is supported, firstly, by the position of dative subjects. As mentioned earlier, dative subjects originate in a low position within the VP (Davison 2004), in other words, as internal arguments (see section 2.2). Secondly, dative predicates, like unaccusatives, cannot be passivised (16) (Bhatt 2003), showing that they also do not have VoiceP.

(16) \*Omar yaad-aya gya. Omar.NOM memory-come.PFV.M.SG PASS.M.SG Intended: 'Omar was remembered/missed.'

For Agree-based approaches to case assignment, the unavailability of accusative case in dative predicates is simply due to the absence of VoiceP (as in unaccusatives). For dependent case appraoches, if one takes dative case to be inherent or semantic, then the conditions for accusative

 $<sup>^{4}</sup>$ This is not a problem for BG as it only holds one way: accusative case is only found where there is an EA but not the other way round.

<sup>&</sup>lt;sup>5</sup>See footnote 3 about the availability of object nominative case in non-finite clauses.

case assignment are not met because the experiencer argument is not active for structural case assignment, and does not count for dependent case rules. Conversely, if one takes dative case to be structural and assigned within a PP, then the experiencer DP itself does not c-command the direct object (17), so, once again, the conditions for dependent accusative case assignment are not satisfied.



Thus, the behaviour of unaccusatives and dative predicates in Urdu is in line with Burzio's Generalisation. In both cases, the EA is absent and accusative case is unavailable. We now turn to passives, the seeming exception to this generalisation.

# 4 Canonical Passives

Mahajan (1995) proposes that Urdu does not have a true passive construction and instead only has what he calls ACTIVE passives. He argues that the agent in seemingly passive constructions is not demoted, the object is not promoted, and therefore, these constructions have active syntax. The only differences between actives and ACTIVE passives are the case on the agent (ergative/nominative vs. instrumental) and the morphology (active vs. passive). In this section, I show that in the canonical passive (unmarked object), the agent is a low subject and the object can be promoted, although optionally. Therefore, Urdu does have true passives.

#### 4.1 Subject demotion

Urdu passives allow for optional realisation of the agent, although it is preferable to omit it. When overt, the agent carries instrumental case.

(18) Omar **(Sana=se)** pakRa gya. Omar.NOM (Sana=INS) catch.PFV.M.SG PASS.M.SG 'Omar was caught (by Sana).'

The status of the agent/by-phrase is much debated. Mahajan (1995) shows that the Urdu by-phrase is an argument and not an adjunct (see also Srishti 2011:Ch7; c.f. Bhatia 2016, Bhatt and Embick 2017). Firstly, by-phrases behave like arguments with respect to island extraction. Clausal objects can be moved to the right with an expletive element, yeh 'this', in object position instead (19-a, 20-a). These rightward moved clauses are islands for further extraction. Arguments incur weak island violations when moved out of this island (19-b), while adjuncts incur strong island violations (20-b).<sup>6</sup>

(19) a. Salma yeh sochthi thi [ keh Mohan=ne Ram=ko Salma.NOM this think.IPFV.F.SG be.PST.F.SG [ that Mohan=ERG Ram=ACC maara ]. hit.PFV.M.SG ]

 $<sup>^{6}</sup>$ Grammaticality marker ?? indicates that the sentence is not perfect but more acceptable than sentences marked with \* for complete ungrammaticality.

Literally: 'Salma thinks this that Mohan hit Ram.' b. ??**Mohan=ne**<sub>1</sub> Salma yeh sochthi  $[ \text{keh } \mathbf{t_1} \text{Ram} = ko ]$  $_{
m thi}$ Mohan=ERG1 Salma.NOM this think.IPFV.F.SG be.PST.F.SG [ that t1 Ram=ACC maara ]. hit.PFV.M.SG ] Literally: 'Mohan, Salma thinks this that (he) hit Ram.' (20)Salma yeh sochthi thi[ keh Mohan=ne Ram=ko a. Salma.NOM this think.IPFV.F.SG be.PST.F.SG [ that Mohan=ERG Ram=ACC ghar=mein maara ]. house=LOC hit.PFV.M.SG ] Literally: 'Salma thinks this that Mohan hit Ram at home.'// b. \*Ghar=mein<sub>1</sub> Salma yeh sochthi thi [keh Mohan=ne  $t_1$ House=LOC1 Salma.NOM this think.IPFV.F.SG be.PST.F.SG [ that Mohan=ERG t1 Ram=ko maara Ram=ACC hit.PFV.M.SG ] Literally: 'At home, Salma thinks this that Mohan hit Ram.' (Mohanan 1994:291-292)

Moving the by-phrase out of a passive rightward moved clause causes a weak island violation (21-b), similar to extracting an argument.

(21)	a.	Salma	yeh sochthi	$_{ m thi}$	keh Moha	an=se	Ram
. ,		Salma.NOM	this think.IPF	V.F.SG be.PST.F.S	G [ that Moha	an=INS	S Ram.NOM
		maara	gya ].				
		hit.pfv.m.s	G PASS.M.SG				
		Literally: 'S	Salma thinks th	his that Ram was	hit by Mohan	'	
	b. '	??Mohan=s	$\mathbf{e_1}$ Salma	yeh sochthi	$\dot{\mathrm{thi}}$	[ ke	h $\mathbf{t_1}$ Ram
		Mohan=IN	s <sub>1</sub> Salma.nom	this think.IPFV.F.	SG be.PST.F.S	sg [ th	at t <sub>1</sub> Ram.nom
		maara	gya ].				
		hit.pfv.m.s	G PASS.M.SG				
				ma thinks this tha	t Ram was h	it.'	
		·	•		(adap	ted fro	om Mohanan 1994:293)

Secondly, like arguments, the by-phrase can bind an aphors (22-a) and control into participial clauses (22-b), but adjuncts cannot (23).<sup>7</sup>

(22)	a.	$\begin{array}{llllllllllllllllllllllllllllllllllll$						
	b.	'Sana caught Omar in $his_j/her_i$ own house.' Omar_i Sana_j=se [ <b>PRO</b> _{i/j} ghar ja kar ] pakRa gya. Omar_i.NOM Sana_j=INS [ PRO_{i/j} house.LOC go do ] catch.PFV.M.SG PASS.M.SG 'Omar_i was caught by Sana_j when he_i/she_j went home.'						
(23)	a.	$ [Sana_j=ki wajah] = se Omar_i=ne apna_{i/*j} ghar barbaad kar [Sana_j=GEN cause] = INS Omar_i=ERG REFL.M.SG_{i/*j} house.NOM destroy do diya. $						
	b.	give.PFV.M.SG 'Because of Sana <sub>j</sub> , Omar <sub>i</sub> destroyed his <sub>i</sub> /*her <sub>j</sub> own house.' [Sana <sub>j</sub> =ki madad] =se Omar <sub>i</sub> =ne [ <b>PRO</b> <sub>i</sub> /* <sub>j</sub> ghar ja kar] Hira=ko [Sana <sub>j</sub> =GEN help] =INS Omar <sub>i</sub> =ERG [PRO <sub>i</sub> /* <sub>j</sub> house.NOM go do] Hira=ACC						

 $<sup>^7\</sup>mathrm{Instrumental}$  case on adjuncts should not be confused with instrumental case on the by-phrase.

pakRa. catch.PFV.M.SG 'With Sana's<sub>i</sub> help, Omar<sub>i</sub> caught Hira when he<sub>i</sub>/\*she<sub>i</sub> went home.

Mahajan (1995) uses the argument status of by-phrases to claim that they are not demoted. However, if we take an approach to passivisation that involves passives being formed by a different lexical item to actives (i.e. active/passive Voice head), rather than transformation of actives, then 'demotion' of the agent translates to projection of the EA in different positions in actives and passives (Baker et al. 1989, Collins 2005). Being an argument does not exclude the possibility of 'demotion' in this sense. In fact, by-phrases behave exactly like known low subjects. As discussed in section 2.2, dative subjects can bind anaphors and control into participial clauses, but can also bind non-reflexive pronouns, which ergative/nominative subjects cannot do. This is because dative subjects are generated in a lower position than ergative/nominative subjects (Davison 2004). We have seen that by-phrases bind anaphors and control into participial clauses (22). They can also bind non-reflexive pronouns (24).

Therefore, the by-phrase is an argument, as Mahajan (1995) shows, but it behaves exactly like a low subject. In other words, it is 'demoted'. I take the by-phrase to be in specifier of passive VoiceP.

#### 4.2 Object promotion

Mahajan (1995) begins with the same observation as I did: objects in passives can retain accusative case. Mahajan interprets this to mean that the same case options are available to objects in passives as in actives, which he then takes to suggest that objects in passives are in the same position as in actives (see also Davison 1982). He applies several syntactic tests to demonstrate this. The crucial problem with Mahajan's analysis is that he applies the tests only to the version of the passive with marked objects. In this section, I test the version with unmarked objects, that is, the canonical passive. The version with marked objects is tested later in section 5.1.

To begin with, it is incorrect to say that the same case options are available to objects in both actives and passives. We saw earlier that there are some DPs (e.g. proper names) which are obligatorily marked as objects in active clauses (section 2.1). The same DPs are grammatical when unmarked in passive clauses (25), suggesting that they are not in object position in passives.

(25) **Omar** (Sana=se) pakRa gya. Omar.NOM (Sana=INS) catch.PFV.M.SG PASS.M.SG 'Omar was caught (by Sana).'

Secondly, bare objects in passives cannot control into object control constructions. The PRO subject of these clauses must be controlled by an object and cannot be controlled by a subject, as shown in (26-a). Object control constructions are ungrammatical in canonical passives as neither the subject (by-phrase) nor the unmarked object are able to control PRO (26-b).

gya. PASS.M.SG Intended: 'Mohan was told to go home by Ram.' (adapted from Mahajan 1995:294-295)

Furthermore, objects in canonical passives can pass prominence tests. They can bind anaphors (27-a) and control into participial clauses (27-b). (Pronoun binding is discussed in section 4.3.) Objects in active clauses do not pass these tests (section 2.2).

- (27) a. Omar<sub>i</sub> apne<sub>i</sub> ghar=mein pakRa gya. Omar<sub>i</sub>.NOM REFL.M.SG.OBL<sub>i</sub> house=LOC catch.PFV.M.SG PASS.M.SG
  'Omar<sub>i</sub> was caught in his<sub>i</sub> own house.'
  b. Omar<sub>i</sub> [PRO<sub>i</sub> ghar ja kar] pakRa gya.

Together, the above facts tell us that objects in canonical passives are in a higher position than objects in actives. We can go one step further and identify this position as SpecTP by looking at non-finite passive clauses. We must use a DP that is always marked in object position and can only be unmarked when not in object position, for example, human proper names. This is crucial because nominative objects are grammatical in non-finite active clauses in Urdu (Bhatt 2007, Mahajan 2017, McFadden and Sundaresan 2011), and this can act as a confounding variable. (28) shows that such DPs are ungrammatical as bare objects in non-finite passive clauses.

(28) [Rina=ka / \*Rina bazaar=mein dekha jaa-na ] sharam=ki
[Rina=GEN.M.SG / \*Rina.NOM market=LOC see.PFV.M.SG PASS-INF ] shame=GEN.F.SG baat he.
talk be.PRS.3SG
'For Rina to be seen in the market is a matter of shame.' (adapted from Bhatt 2007:9)

This indicates that the position of bare objects in passives depends on finite T for licensing. This position is SpecTP.<sup>8</sup>

### 4.3 Optional object promotion

We have seen that the by-phrase can pass prominence tests but that the object in canonical passives can too. If we look at c-command relations, we see that both the object and the by-phrase are able to c-command each other. This is shown through anaphor binding below (29).

(29)	a.	$Omar_i$	$apni_i$	behen	=se pakRa	gya.
		Omar <sub>i</sub> .NOM	A REFL.F.SC	$.OBL_i$ sister=	INS catch.PFV	.M.SG PASS.M.SG
		'Omar <sub>i</sub> was	s caught by	$his_i \text{ own sist}$	er.'	
	b.	$Sana_i = se$	$apna_i$	bhai	pakRa	gya.
		$\mathrm{Sana}_i = \mathrm{INS}$	REFL.M.SG	i brother.NOM	A catch.PFV.M.	SG PASS.M.SG
		'Her <sub>i</sub> own	brother was	caught by Sa	ana <sub>i</sub> .'	

It seems that although object promotion is possible in Urdu passives, it is optional. When the object is promoted, it c-commands the by-phrase and is able to pass prominence tests as shown in section 4.2. However, when it is not promoted, it no longer passes prominence tests, for example, it can no longer control into participial clauses, as in (30).

 $<sup>^{8}</sup>$ Srishti (2011:Ch5) argues that even when the object is promoted in Urdu passives it is not in subject position. See section 4.3 for why there might be differences between ergative/nominative subjects and promoted objects of passives.

(30) Sana<sub>i</sub>=se apna<sub>i</sub> bhai<sub>j</sub> [  $PRO_{i/*j}$  ghar ja kar ] pakRa Sana<sub>i</sub>=INS REFL.M.SG<sub>i</sub> brother<sub>j</sub>.NOM [  $PRO_{i/j}$  house.LOC go do ] catch.PFV.M.SG gya. PASS.M.SG 'Her<sub>i</sub> own brother<sub>i</sub> was caught by Sana<sub>i</sub> when she<sub>i</sub>/\*he<sub>i</sub> went home.'

This is because the promoted object is prominent by virtue of being the highest structural argument. When it is not promoted, it is not prominent and no longer passes the tests. On the other hand, the by-phrase always passes prominence tests, regardless of object promotion, because it is prominent by virtue of being the highest logical argument or agent. This is a good case in point that these properties are not associated with a single structural position but rather with different kinds of prominence, and that more than one argument may be prominent in a single sentence.

This optional promotion is similar to the interaction between dative subjects and nominative objects in dative predicate constructions. Dative subjects can bind anaphors in nominative objects, showing that the subject c-commands the object (31-a), but when inverted, nominative objects can bind anaphors in dative subjects instead (31-b). This has been explained through optional movement of either argument to SpecTP (Davison 2004), similar to the above proposal for passives (although it remains to be seen whether the by-phrase ever moves to SpecTP).

(31)	a.	$Sana_i = ko$	$apna_i$	bhai	pasand	he.
		Sana <sub>i</sub> =DAT	REFL.M.SG	brother.NOM	í like	be.prs.3sg
		'Sana <sub>i</sub> likes	$her_i \ own \ br$	other.'		
	b.	$\operatorname{Omar}_{i}$	$\operatorname{sirf} \operatorname{apni}_i$	behen=	ko pasa	and he.
		Omar <sub>i</sub> .Nom	only REFL.	$F.SG_i$ sister=1	dat like	be.prs.3sg
		'Only his <sub>i</sub> o	wn sister lik	kes Omar <sub>i</sub> .'		
Dat		na to the pro	noun obriot	tion toot both		tivo objects in dativo predic

Returning to the pronoun obviation test, both nominative objects in dative predicate constructions (32) and bare objects of passives (33) can bind non-reflexive pronouns.

The ability to bind non-reflexive pronouns may have something to do with the low origin of these arguments, similar to dative subjects and *by*-phrases in comparison to ergative/nominative subjects.

What might be the reason for optional promotion in Urdu passives? Although it is wellestablished in the literature that accusative case is unavailable in passives of many languages (and in Urdu as well, as will be shown in the next section), Urdu also has a 'low' nominative case found on objects. I call this a low nominative because it is different from nominative case on subjects; for example, as mentioned earlier, it is grammatical in non-finite clauses while subject nominative is not (McFadden and Sundaresan 2011). If the low nominative case is available in passives, then we can speculate that the optionality of object promotion may have to do with the continued availability of an object case, contrary to passives in other languages. I leave this open for future research.

Regarding case assignment in canonical passives, Agree-based approaches assume that passive Voice is unable to assign accusative case. This is linked to the fact that the EA of passive Voice (the by-phrase) is different from the EA of active Voice (Roberts 2019). On the other hand, dependent case approaches argue that there is no DP c-commanding the object in canonical passives. This is straightforward when the object is promoted to a position above the by-phrase but, as we have seen in Urdu, the object is not always promoted, in which case it is c-commanded by the by-phrase but

still does not receive accusative case. This can be explained in the same way as lack of accusative case with dative subjects (section 3.2): the instrumental agent is either not visible for structural case assignment or is within a PP and does not c-command the (unpromoted) object. This is illustrated in the proposed structure in (34). Therefore, the object receives nominative case regardless of being promoted.



# 5 Active Impersonals

Optional object promotion in Urdu passives has been previously proposed by Mohanan (1994) and Bhatt (2007). Under their accounts, the object receives accusative case when it is not promoted,<sup>9</sup> and so the passive with a marked object is also underlyingly passive.<sup>10</sup>

(1) **Vo** / **Us=ko** pakRa gya. He.NOM / He.OBL=ACC catch.PFV.M.SG PASS.M.SG 'He was caught.'

In this section, I argue that the accusative-preserving construction above is actually not passive at all and is underlyingly active. First, I will establish that the marked object is never promoted. I then show that no overt subject is possible in this construction, however, a silent syntactic subject is still present, and moreover, this silent subject is a high subject. Therefore, this accusative-preserving construction is underlyingly active.

### 5.1 No object promotion

I now apply the tests used in section 4.2 to marked objects and show that they are never promoted. Firstly, marked objects can control into object control constructions (35-c), just like objects in active clauses (35-a) and unlike bare objects in canonical passives (35-b).

Omar=ACC Hira=INS introduce.PFV.M.SG PASS.M.SG 'Omar was introduced to Hira.'

 $<sup>^{9}</sup>$ Object promotion is different from object shift which is necessary for accusative case assignment in Urdu (Bhatt and Anagnostopoulou 1996, Kalin and Weisser 2019).

 $<sup>^{10}</sup>$ Marked objects are also possible in passives of double object constructions. The instrumental phrase in (i-b) is not the by-phrase (see section 5.2).

 <sup>(</sup>i) a. Sana=ne Omar=ko Hira=se milaya. Sana=ERG Omar=ACC Hira=INS introduce.PFV.M.SG
 'Sana introduced Omar to Hira.'
 b. Omar=ko Hira=se milaya gya.

(35)	a.	Ram <sub>i</sub> =ne Mohan <sub>j</sub> =ko [ <b>PRO</b> * <sub>i/j</sub> ghar jaa-ne ] =ko kaha.
		Ram <sub>i</sub> =ERG Mohan <sub>j</sub> =ACC [ PRO <sub>*i/i</sub> home.LOC go-INF.OBL ] =ACC say.PFV.M.SG
		'Ram <sub>i</sub> told Mohan <sub>j</sub> to go home.'
		Intended: 'Ram told Mohan that he [Ram] should go home.'
	b.	*Ram <sub>i</sub> =se Mohan <sub>j</sub> [ <b>PRO</b> <sub>*i/*i</sub> ghar jaa-ne ]=ko kaha
		$Ram_i = INS Mohan_j.NOM [PRO_{*i/*j} home.LOC go-INF.OBL] = ACC say.PFV.M.SG$
		gya.
		PASS.M.SG
		Intended: 'Mohan was told to go home by Ram.'
	c.	$Mohan_i = ko [PRO_i ghar jaa-ne ] = ko kaha gya.$
		$Mohan_i = ACC [PRO_i home.loc go-INF.OBL] = ACC say.PFV.M.SG PASS.M.SG$
		'Mohan <sub>i</sub> was told to go to his <sub>i</sub> house.'

(adapted from Mahajan 1995:294-295)

Secondly, marked objects are not able to control into participial clauses, suggesting that they are not in a higher position than objects in active clauses (36).

Marked objects are, however, able to bind reflexive anaphors (37). As we will see in the next section, this is because of the properties of the silent subject rather than the prominence of the object.

(37)  $Sana_i = ko$  **apne**<sub>i</sub> ghar=mein pakRa gya. Sana\_i = ACC REFL.M.SG.OBL<sub>i</sub> house=LOC catch.PFV.M.SG PASS.M.SG 'Sana was caught in her own house.'

Like objects in active clauses, marked objects are also able to bind non-reflexive pronouns. However, this is not indicative of their position as we have seen that unmarked objects are also able to do this (section 4.3).

Finally, marked objects are grammatical in non-finite passive clauses, confirming that they are not dependent on finite T in the same way that bare objects in canonical passives are (38).

(38) [Rina=ka / \*Rina / Rina=ko bazaar=mein dekha jaa-na ] [Rina=GEN.M.SG / \*Rina.NOM / Rina=ACC market=LOC see.PFV.M.SG PASS-INF ] sharam=ki baat he. shame=GEN.F.SG talk be.PRS.3SG 'For Rina to be seen in the market is a matter of shame.'

(adapted from Bhatt 2007:9)

As mentioned earlier, Mahajan (1995) tests passive constructions with marked objects only. It is now unsurprising that he reaches the conclusion that objects in passives are never promoted: marked objects do not show any signs of being promoted and seem to be in the same position as objects in active clauses. The only difference is their ability to bind anaphors which objects in active clauses are unable to do. This is explained in the next section.

### 5.2 Syntactic subject and its properties

Perhaps the most striking fact about the accusative-preserving construction is its inability to have an overt by-phrase (39) or indeed any overt subject at all (40).

- (39) Omar=ko (**\*Sana=se**) pakRa gya. Omar=ACC (Sana=INS) catch.PFV.M.SG PASS.M.SG 'Omar was caught (\*by Sana).
- (40) (\*Sana=ne / \*Sana ) Omar=ko pakRa gya. (Sana=ERG / Sana.NOM ) Omar=ACC catch.PFV.M.SG PASS.M.SG (\*Sana) Omar was caught.

The unavailability of the *by*-phrase combined with the total lack of object promotion suggests that this construction is not passive. If passive VoiceP was present, we would expect the overt *by*-phrase to be possible. Moreover, since the high subject position would be empty, we would also expect the marked object to be able to promote just as bare objects in the canonical passive are able to. This leads to the hypothesis that the accusative-preserving construction may be underlyingly active.

Despite the impossibility of an overt subject, there must be a syntactic subject present as control into participial clauses is possible (38, repeated). As shown earlier, the marked object is unable to control PRO, and so the implicit agent ('the catcher' below) must control PRO instead.

This is further corroborated by using subject-oriented adverbs. In both examples below, the adverbs are necessarily interpreted as modifying the implicit agent and not the object.

(41)	a.	Omar=ko jaan-bojh kar pakRa gya.
		Omar=ACC life-burden do catch.PFV.M.SG PASS.M.SG
		'Omar was caught on purpose.' Intended: 'Omar got caught on purpose.'
	b.	Omar=ko ghalthi=se pakRa gya.
		Omar=ACC mistake=INS catch.PFV.M.SG PASS.M.SG
		'Omar was caught by mistake.' $\neq$ 'Omar got caught by mistake.'

Having determined that there is a silent subject present, we must now identify its properties. Firstly, it can have any combination of  $\phi$ -features: it can be masculine/feminine, singular/plural, first/second/third person - the sentence is felicitous regardless of which features the implicit argument has. Secondly, the implicit argument must be [+human]. This is seen in the minimal pair below where the implicit by-phrase in the canonical passive (42-a) can be either an agent or a causee, leading to two possible interpretations, whereas the implicit argument in the accusative-preserving construction (42-b) can only be an agent, resulting in a single interpretation.<sup>11</sup>

- (42) a. Ali zalzale=mein maara gya. Ali.NOM earthquake=LOC kill.PFV.M.SG PASS.M.SG 'Ali was killed during the earthquake / Ali died during the earthquake.'
  - b. Ali=ko zalzale=mein maara gya.
    Ali=ACC earthquake=LOC kill.PFV.M.SG .PFV.M.SG
    'Ali was killed during the earthquake.' ≠ 'Ali died during the earthquake.'

From this type of example, it is unclear whether animacy is enough or whether the agent must be human, but we can see that it must be the latter by manipulating the participial clause in a control construction. The participial clause in (43) forces the implicit agent to be interpreted as non-human, in this case, a dog. The sentence is infelicitous.

<sup>&</sup>lt;sup>11</sup>Thanks to an anonymous reviewer from FASAL-11 for providing this example from Peter Hook's work.

(43)  $\#Omar_i = ko$  [ **PRO**\*<sub>i/??j</sub> bhonkthe hue ] pakRa gya. Omar\_i=ACC [ PRO\*<sub>i/??j</sub> bark.IPFV happen.PFV.OBL ] catch.PFV.M.SG PASS.M.SG 'Omar was caught while the catcher was barking.'

We have already seen that this silent subject can control into participial clauses (38, above). However, it cannot bind anaphors (44).

(44) \*Apne bhai=ko pakRa gya. REFL.M.SG.OBL brother=ACC catch.PFV.M.SG PASS.M.SG 'His/her own brother was caught.'

Landau (2010) argues that there is a distinction between strong and weak implicit arguments (SIAs vs WIAs). SIAs are able to control PRO and bind anaphors, however, WIAs can control (showing they are syntactically present) but not bind. He proposes that WIAs are missing a D feature which is necessary for anaphor binding. WIAs are still able to trigger Conditions B and C effects which do not require a D feature.

I take the silent subject in the Urdu accusative-preserving construction to be a WIA. It controls but doesn't bind, as seen above, and it shows Conditions B and C effects, shown below. The following examples are infelicitous in a context where the agent is the same as the object, for example, someone saw themself in a mirror.

- (45) a. Us=ko dekha gya. Us=ACC see.PFV.M.SG PASS.M.SG 'He was seen.' (agent  $\neq$  him) b. Omar=ko dekha gya. Omar=ACC see.PFV.M.SG PASS.M.SG
  - 'Omar was seen.' (agent  $\neq$  Omar)

This also helps narrow down the position of the silent subject. So far we have seen that only ergative/nominative subjects show pronoun obviation, and that any arguments originating in low positions (dative subjects, *by*-phrases, promoted objects) do not. The fact that the silent subject in active impersonals does not bind non-reflexive pronouns suggests that it is a high subject, possibly in the same position as ergative/nominative subjects in active clauses.

We can formulate the features of the silent subject as shown in (46). It must be human and agentive with any combination of  $\phi$ -features. Crucially, it does not have a D feature so it cannot bind anaphors.

(46)  $pro = [+human, +agent, \phi]$ 

Returning to the issue of marked objects being able to bind anaphors (39, repeated), we now know that the implicit subject is unable to bind anaphors. The marked object is now the most prominent (structural) argument for anaphor binding. So the marked object binds anaphors not because it is promoted to a prominent subject-type position, but rather because the implicit subject is not prominent enough given its lack of D feature. This gives further credence to the idea that the three 'subject' properties do not always group together, and raises interesting questions for prominence in Urdu.

Finally, with respect to case assignment, the presence of accusative case in this construction can be straightforwardly explained by Agree-based approaches. The construction is active, and therefore, Voice is able to assign accusative case to the object. However, dependent case approaches are not able to explain this data as easily. The implicit subject lacks a D-feature and therefore does not count for dependent case assignment. This means that the object is not the lower of two DPs available for case assignment, and so the condition for accusative case assignment is not met.

### 5.3 Summary

In this section, I have shown that marked objects in Urdu 'passives' are not promoted and are in the same position as objects in active clauses. Furthermore, the by-phrase is not possible in this construction. Instead, there is a silent *pro* subject which behaves like a high subject, similar to high (ergative/nominative) subjects in active clauses. Together, this provides strong evidence that the accusative-preserving passive construction is not a true passive and is underlyingly active. I call this construction an 'active impersonal' (Blevins 2003),<sup>12</sup> in line with similar constructions found in Polish, Icelandic and Viennese German.

### 6 Structure of Urdu Active Impersonals

Although I have shown that the active impersonal construction does not behave like a true passive and is underlying active, it also exhibits some properties that differentiate it from other active clauses, notably the presence of passive morphology and a *pro* subject. In this section, I compare the Urdu active impersonal to similar constructions in other languages to shed light on its structure.

Laszakovits (2017) compares Polish, Ukrainian, Icelandic and Viennese German (henceforth, German) and proposes an analysis of accusative-preserving constructions in the four languages. Table 1 shows her comparison, with the column for Urdu added by me.

	Property	Polish	Ukrainian	Icelandic	German	Urdu
(a)	Passive morphology	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
(b)	No subject possible	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
(c)	ACC on IA	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
(d)	Agentive by-phrase	×	$\checkmark$	$\checkmark$	$\checkmark$	×
(e)	Unaccusatives	$\checkmark$	×	$\checkmark$	$\checkmark$	×
(f)	Raising verbs	$\checkmark$	×	?	N/A	?
(g)	EA anaphor binding	$\checkmark$	×	$\checkmark$	$\checkmark$	$\checkmark$
(h)	EA interpretation	arbitrary	existential	existential?	generic	?
(i)	$[\alpha \text{ human}]$	+	$\pm$	+	+	+
(j)	$[\alpha \text{ animacy}]$	+	±	?	+	+

 

 Table 1: Cross-linguistic comparison of accusative-preserving constructions (adapted from Laszakovits 2017).

The first block of properties (a-c) captures the surface properties of the construction: passive morphology, no subject (in the sense of subjects found in active clauses) and accusative case on the internal argument. The third block (h-j) has to do with interpretive properties of the implicit subject. This has been included here for completeness sake but I do not discuss it further. It is the second block (d-g) that is of interest to us as these are the diagnostics for active/passive syntax.

Polish and Ukrainian are straightforward (Maling 1993, Laszakovits 2017). In Polish, the accusative-preserving construction shows all properties of being active. The agentive by-phrase (d) is not possible, a property of passives (assuming by-phrases attach to passive VoiceP). Conversely, unaccusatives (e) and raising verbs (f) are both allowed, as in regular active clauses. Furthermore,

 $<sup>^{12}</sup>$ A crucial aspect of Blevins' (2003) definition of impersonals is that they can also be formed with intransitive verbs. This does not hold for Urdu (see section 6).

the EA can bind anaphors (g), unlike the EA in canonical passives. On the other hand, in Ukrainian, the accusative-preserving construction behaves exactly like canonical passives in the language and differently from active clauses. The by-phrase is allowed while unaccusatives and raising verbs are not. Moreover, the by-phrase in both accusative-preserving constructions and passives is not able to bind anaphors. Hence, the Polish construction has been analysed as active and the Ukrainian one as passive.

Icelandic and German are more complicated. Crucially, both allow agentive by-phrases (d) (c.f. Maling and Sigurjónsdóttir 2002, 2015), a property of passives, and unaccusatives (e), a property of actives. Laszakovits (2017) proposes that accusative preserving constructions in these languages are both active and passive: passive VoiceP is immediately dominated by an active one. I do not go into any further details here, except to note that the passive VoiceP in active impersonals is different in each of these languages and from VoiceP in canonical passives within each language.

Going back to Urdu, we have already seen that the agentive by-phrase (d) is not possible (section 5.2). However, unaccusatives (e), which do not passivise in Urdu (section 3.1), are also unable to form active impersonals (47-a). The same is the case for dative predicates (47-b).

(47)	a. *Gira gya. Fall.PFV.M.SG PASS.M.SG				(unaccusative)
	b.		yaad-aya DM memory-come.PI	gya. FV.M.SG PASS.M.SG	(dative predicate)

Note that there is no way to distinguish active impersonals and passives of unaccusatives and dative predicates, since the biggest indicator, accusative case, is absent (section 3). Nevertheless, if unaccusatives and dative predicates were in fact possible in active impersonals, then the above sentences would be grammatical albeit with an underlying active structure. Finally, anaphor binding (g) is not distinctive in Urdu as both the implicit subject in active impersonals and the by-phrase in passives show this property.

It is clear that like Icelandic and German, the Urdu accusative-preserving construction is not straightforwardly active. However, unlike Icelandic and German which show properties of being *both* active and passive, Urdu seems to show properties of being *neither*: no *by*-phrase (passive property), no unaccusative verbs (active property). This makes an activeVoice-over-passiveVoice type analysis an unviable option for Urdu. Instead, I propose that there is a single VoiceP in Urdu active impersonals, and that this VoiceP is different from VoiceP in both canonical passives and actives (Laszakovits 2017, Legate et al. 2020).

Unaccusatives and dative predicates are unable to form active impersonals because they do not have any VoiceP at all whose features can be manipulated. I leave the details of this analysis open for future works.

## 7 Conclusion and Future Research

In this paper, I have argued that the so-called Urdu passive has two distinct constructions. The first is a canonical passive, with subject demotion, no accusative case, and optional object promotion. The second is an impersonal construction, with underlying active syntax, a *pro* subject and no object promotion. Similar active impersonal constructions are found in several other languages, including Polish, Icelandic and Viennese German.

One issue that I have not touched on is the presence of passive morphology in an otherwise active construction (although we have seen that the impersonals are not exactly identical to actives either). However, this is not as problematic as it may seem initially. As in many other languages, the form of the passive morpheme is not unique to passives. It is also used as a main verb, 'go' (*Sana jaa-rahi* he 'Sana is going'), and a light verb (*Sana seb kha gaii* 'Sana ate up the apple'). Moreover, the

surface structure itself is not unique to passives. The same surface structure is used in (in)abilitative constructions and a similar structure is used for necessity/prohibition readings (Davison 1982, Bhatt 2003, Srishti 2011:Ch5). We can simply add impersonals to the list of constructions that share morphology and surface structure with passives. Reanalysis of passive morphology is also given as an explanation for its presence in active impersonals in Polish (Maling 1993), Icelandic (Maling and Sigurjónsdóttir 2002, 2015) and Viennese German (Laszakovits 2017). One common property between these languages is that they all allow passives of intransitives. According to Haspelmath (1990), as cited by Laszakovits (2017), "as soon as a language allows passives of intransitives, the difference between passives and impersonals blurs." This opens the door to reanalysis of passive morphology for usage in impersonal constructions.

Earlier I stated that the arguments made in this paper hold for Urdu alone. Discussion with native speakers at FASAL-11 suggests an active analysis of accusative-preserving passive constructions may not be right for Hindi. For one, the *by*-phrase (*-dwaara* XP) is allowed in the accusative-preserving construction, a property associated with passive syntax. If active impersonals are the result of reanalysis and language change, then this might explain why Hindi and Urdu, with otherwise almost idential syntax, show differences here. This is even more likely when we consider that the judgements reported in this paper are from the Urdu-speaking community based in Karachi, Pakistan, where Urdu is completely isolated from Hindi. This also opens interesting avenues for work on fine-grained parametric variation between Hindi and Urdu which are generally not distinguished from each other in the literature.

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